

EXPONENTIATION FOR UNITARY STRUCTURES

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ABSTRACT. Motivated by the observation that both pretopologies and preapproach limits can be characterized as those convergence relations which have a unit for a suitable composition, we introduce the category $\text{Alg}_u(\mathbf{T}; \mathbf{V})$ of reflexive and unitary lax algebras, for a symmetric monoidal closed lattice \mathbf{V} and a **Set**-monad $\mathbf{T} = (T, e, m)$. For $\mathbf{T} = \mathbf{U}$ the ultrafilter monad, we characterize exponentiable morphisms in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$. Further, we give a sufficient condition for an object to be exponentiable in the category $\text{Alg}(\mathbf{U}; \mathbf{V})$ of reflexive and transitive lax algebras. This specializes to known and new results for pretopological, preapproach and approach spaces.

INTRODUCTION

The category **Top** of topological spaces and continuous maps is undoubtedly the most important category in topology. However, many categorically defined constructions either cannot be carried out in **Top** or destroy properties of spaces or maps. In order to perform these constructions, topologists move (temporarily) outside **Top** into larger better behaved environments such as the category **PrTop** of pretopological spaces or the category **PsTop** of pseudotopological spaces. A pseudotopology on a set X is most easily described by a convergence relation $\mathfrak{x} \rightarrow x$ between ultrafilters \mathfrak{x} on X and points $x \in X$, where only the principal ultrafilter \dot{x} is required to converge to x . In [2] Barr showed that a pseudotopology is a topology exactly if it satisfies in addition the transitivity axiom

$$\mathfrak{X} \rightarrow \mathfrak{x} \rightarrow x \Rightarrow m_X(\mathfrak{X}) \rightarrow x,$$

for all $x \in X$, $\mathfrak{x} \in UX$ and $\mathfrak{X} \in U^2X$. Here m_X is the X -component of the multiplication m of the ultrafilter monad $\mathbf{U} = (U, e, m)$, and the relation \rightarrow is naturally extended to a relation between U^2X and UX . In fact, the latter defines an extension of the **Set**-monad \mathbf{U} to a lax monad on the 2-category **Rel** of relations; and a topology is exactly a lax Eilenberg-Moore algebra for this extension. In [14] we observed that pretopologies can be described in a very

2000 *Mathematics Subject Classification.* 18A20, 54A05, 54A20, 54B30, 54C10.

Key words and phrases. Pretopological space, preapproach space, approach space, lax algebra, exponentiable morphism, extensional topological hull.

The author acknowledges partial financial assistance by Unidade de Investigação e Desenvolvimento Matemática e Aplicações da Universidade de Aveiro/FCT.

similar way, namely as those convergence relations which satisfy, for all $x \in X$ and $\mathfrak{X} \in U^2X$,

$$\overset{\bullet}{x} \rightarrow x \quad \text{and} \quad \mathfrak{X} \rightarrow \overset{\bullet}{x} \Rightarrow m_X(\mathfrak{X}) \rightarrow x.$$

After introducing the Kleisli composition $a * b = a \cdot Ub \cdot m_X^\circ$, for a relation a from UY to Z and a relation b from UX to Y , we see that the latter axiom is equivalent to $e_X^\circ * a = a$. Here f° denotes the inverse image relation of a function $f : X \rightarrow Y$, that is, $yf^\circ x$ if $y = f(x)$. Since one always has $a * e_X^\circ = a$, we obtain that pretopologies are exactly those pseudotopologies for which e_X° is a unit for the Kleisli composition.

Combining the approach of [2] with [16], in several papers (see [6, 7, 9, 11], for instance) we develop the theory of lax Eilenberg-Moore algebras for extensions of **Set**-monads \mathbb{T} to lax monads on bicategories of the form $\text{Mat}(\mathbf{V})$, for a monoidal closed lattice (category) \mathbf{V} . This theory encompasses many important categories of topology: besides **Top** also the category **Met** of (generalized) metric spaces and the category **Ap** of approach spaces [17]. The description of pretopologies above opens the door to incorporate also this concept into our theory. In Section 2 we define the category $\text{Alg}_u(\mathbb{T}; \mathbf{V})$ of reflexive and *unitary* lax algebras. We will show that, under mild conditions on \mathbf{V} , $\text{Alg}_u(\mathbb{U}; \mathbf{V})$ is the extensional topological hull of the category $\text{Alg}(\mathbb{U}; \mathbf{V})$ of reflexive and transitive lax algebras, generalizing known properties of the embeddings **Top** \hookrightarrow **PrTop** (see [13]) and **Ap** \hookrightarrow **PrAp** (see [19]).

The main purpose of this work is the study of exponentiability. Pisani [22] characterized exponentiable objects in **Top** by an interpolation property: a topological space X is exponentiable if and only if, for all $\mathfrak{X} \in U^2X$ and all $x \in X$, $m_X(\mathfrak{X}) \rightarrow x$ implies the existence of $\mathfrak{r} \in UX$ such that $\mathfrak{X} \rightarrow \mathfrak{r} \rightarrow x$. Hence for pretopological spaces we would expect that a space X is exponentiable if and only if, for all $\mathfrak{X} \in U^2X$ and all $x \in X$, $m_X(\mathfrak{X}) \rightarrow x$ implies $\mathfrak{X} \rightarrow \overset{\bullet}{x}$. It is not hard to see that this condition is equivalent to each point having a smallest neighborhood, which in turn is equivalent to the convergence structure being induced by a reflexive (binary) relation on X . In [21] exponentiable pretopological spaces are characterized as those which satisfy these (equivalent) conditions. Motivated by this example, in Section 3 we formulate a condition and indeed prove that it characterizes exponentiable morphisms in $\text{Alg}_u(\mathbb{U}; \mathbf{V})$ (Theorem 3.5), under mild conditions on \mathbf{V} . Our result covers the characterization of exponentiable morphisms in **PrTop** obtained in [24], of exponentiable objects in **PrAp** obtained in [20], and it gives a new characterization of exponentiable morphisms in **PrAp**.

The techniques used so far can be partially applied to transitive structures. Section 4 is devoted to this subject. Our main result here (Theorem 4.3) gives

a sufficient condition for an object in $\text{Alg}(\mathbf{U}; \mathbf{V})$ to be exponentiable. This theorem applies in particular to approach spaces.

1. CATEGORIES OF LAX ALGEBRAS

1.1. Hypothesis. Throughout we assume that a complete lattice \mathbf{V} equipped with a symmetric tensor product \otimes is given. Moreover, this tensor has a unit element k and a right adjoint hom ; that is, for each $\alpha, \beta, \gamma \in \mathbf{V}$,

$$\alpha \otimes \beta \leq \gamma \iff \beta \leq \text{hom}(\alpha, \gamma).$$

Our two principal examples are the Boolean algebra $\mathbf{2} = \{\text{false} \vdash \text{true}\}$ with tensor provided by “and” $\&$ and neutral element true ; and the extended real half-line $\overline{\mathbb{R}}_+ = [0, \infty]$ ordered by the “greater or equal”-relation \geq , with tensor defined by addition (where $\infty + x = x + \infty = \infty$) and 0 the neutral element. Its right adjoint is given by truncated minus: $\text{hom}(x, y) = \max\{y - x, 0\}$.

1.2. \mathbf{V} -matrices. The category $\text{Mat}(\mathbf{V})$ of \mathbf{V} -matrices (see [4, 11]) has sets as objects, and a morphism $r : X \dashrightarrow Y$ in $\text{Mat}(\mathbf{V})$ is a \mathbf{V} -matrix $r : X \times Y \rightarrow \mathbf{V}$. Composition of \mathbf{V} -matrices $r : X \dashrightarrow Y$ and $s : Y \dashrightarrow Z$ is defined as matrix multiplication

$$s \cdot r(x, z) = \bigvee_{y \in Y} r(x, y) \otimes s(y, z),$$

and the identity arrow $\text{id}_X : X \dashrightarrow X$ is the \mathbf{V} -matrix which sends all diagonal elements (x, x) to k and all other elements to the bottom element \perp of \mathbf{V} . The complete order of \mathbf{V} induces a complete order on $\text{Mat}(\mathbf{V})(X, Y)$: for \mathbf{V} -matrices $r, r' : X \dashrightarrow Y$ we define

$$r \leq r' : \iff \forall x \in X \forall y \in Y \ r(x, y) \leq r'(x, y).$$

Hence $\text{Mat}(\mathbf{V})$ is actually a 2-category. Moreover, it has an order-preserving *involution* ${}^\circ$ sending each $r : X \dashrightarrow Y$ to its transpose $r^\circ : Y \dashrightarrow X$, defined by $r^\circ(y, x) = r(x, y)$. Returning to our main examples, we have $\text{Mat}(\mathbf{2}) \cong \mathbf{Rel}$ while $\text{Mat}(\overline{\mathbb{R}}_+)$ is the 2-category whose morphisms $a : X \dashrightarrow Y$ are generalized distances $a : X \times Y \rightarrow \overline{\mathbb{R}}_+$ with composition given by

$$b \cdot a(x, z) = \inf\{a(x, y) + b(y, z) \mid y \in Y\};$$

$\text{id}_X : X \dashrightarrow X$ is the discrete distance sending the diagonal to 0 and all other pairs (x, x') to ∞ .

The category \mathbf{Set} can be naturally embedded into $\text{Mat}(\mathbf{V})$ by leaving objects unchanged and sending each map $f : X \rightarrow Y$ to the \mathbf{V} -matrix

$$f(x, y) = \begin{cases} k & \text{if } f(x) = y, \\ \perp & \text{else.} \end{cases}$$

In the sequel we will write $f : X \rightarrow Y$ rather than $f : X \rightrightarrows Y$ for a \mathbf{V} -matrix induced by a **Set**-map in the sense above. We remark that each $f : X \rightarrow Y$ satisfies the inequalities $\text{id}_X \leq f^\circ \cdot f$ and $f \cdot f^\circ \leq \text{id}_Y$, i.e. f is left adjoint to f° .

1.3. The ultrafilter monad. Lax algebras are defined relative to a lax extension of a **Set**-monad $\mathbb{T} = (T, e, m)$ to $\text{Mat}(\mathbf{V})$. In this article we concentrate on the identity monad $\mathbf{1} = (\text{Id}, \text{id}, \text{id})$ and the ultrafilter monad $\mathbf{U} = (U, e, m)$. Recall that the latter monad is induced by the dual adjunction

$$\mathbf{Bool} \xrightarrow{\eta} \begin{array}{c} \xrightarrow{\text{hom}(-,2)} \\ \xleftarrow{\text{hom}(-,2)} \end{array} \xleftarrow{\varepsilon} \mathbf{Set}.$$

Explicitly, the ultrafilter functor $U : \mathbf{Set} \rightarrow \mathbf{Set}$ assigns to each set X the set UX of ultrafilters on X and to each function $f : X \rightarrow Y$ the function $Uf : UX \rightarrow UY$ which takes an ultrafilter $\mathfrak{r} \in UX$ to the (ultra)filter generated by its f -image $\{f[A] \mid A \in \mathfrak{r}\}$. The natural transformations $e : \text{Id} \rightarrow U$ and $m : U^2 \rightarrow U$ are given by

$$e_X(x) = \overset{\bullet}{x} = \{A \subset X \mid x \in A\} \quad \text{and} \quad m_X(\mathfrak{X}) = \{A \subset X \mid A^\# \in \mathfrak{X}\},$$

for all sets X , $\mathfrak{X} \in U^2X$ and $x \in X$. Here $A^\#$ denotes the set $\{\mathfrak{a} \in UX \mid A \in \mathfrak{a}\}$. In the sequel we will extend this notation to filters and write $\mathfrak{f}^\#$ for $\{A^\# \mid A \in \mathfrak{f}\}$. The set of all proper filters on a set X we will denote by FX .

1.4. Extending to $\text{Mat}(\mathbf{V})$. By a *lax extension* of a monad $\mathbb{T} = (T, e, m)$ on **Set** to $\text{Mat}(\mathbf{V})$ we mean an extension of the endofunctor $T : \mathbf{Set} \rightarrow \mathbf{Set}$ to $\text{Mat}(\mathbf{V})$ which satisfies

- (1) $Tb \cdot Ta \leq T(b \cdot a)$,
- (2) $a \leq a' \Rightarrow Ta \leq Ta'$,
- (3) $e_Y \cdot a \leq Ta \cdot e_X$,
- (4) $m_Y \cdot T^2a \leq Ta \cdot m_X$,
- (5) $(Ta)^\circ = T(a^\circ)$ (and we write Ta°),

for all $a, a' : X \rightrightarrows Y$ and $b : Y \rightrightarrows Z$. Note that we have automatically equality in (1) if $a = f$ is a **Set**-map. The identity monad $\mathbf{1} = (\text{Id}, \text{id}, \text{id})$ on **Set** can be obviously “extended” to the identity monad on $\text{Mat}(\mathbf{V})$. In [7, 11] are shown equivalent ways how to extend \mathbf{U} to $\text{Mat}(\mathbf{V})$ provided that \mathbf{V} is \sqsubset -atomic. By that we mean that there is a binary relation \sqsubset on \mathbf{V} which satisfies

- $\alpha \sqsubset \beta \leq \gamma \Rightarrow \alpha \sqsubset \gamma$ and
- $\alpha = \bigvee \{\alpha_0 \in \mathbf{V} \mid \alpha_0 \sqsubset \alpha, \alpha_0 \text{ is a } \sqsubset\text{-atom}\}$,

for all $\alpha, \beta, \gamma \in \mathbf{V}$. Here an element $\alpha_0 \in \mathbf{V}$ is called an \sqsubset -atom if, for each $S \subset \mathbf{V}$, $\alpha_0 \sqsubset \bigvee S$ implies $\alpha_0 \leq s$ for some $s \in S$. We will write $\text{At}(\alpha)$ for the

set of all atoms $\alpha_0 \sqsubset \alpha$. It is easy to see that $\mathbf{2}$ is \leq -atomic and $\overline{\mathbb{R}}_+$ is $>$ -atomic. For $a : X \rightrightarrows Y$, $\mathfrak{x} \in UX$ and $\mathfrak{y} \in UY$ one defines

$$Ua(\mathfrak{x}, \mathfrak{y}) := \bigwedge_{\substack{A \in \mathfrak{x} \\ B \in \mathfrak{y}}} \bigvee_{\substack{x \in A \\ y \in B}} a(x, y) = \bigvee \{ \gamma \in \mathbf{V} \mid \mathfrak{x}(Ua_\gamma)\mathfrak{y} \}.$$

In the latter formula a_γ denotes the relation defined by

$$xa_\gamma y : \iff a(x, y) \geq \gamma;$$

and for a relation $r : X \rightrightarrows Y$ and ultrafilters $\mathfrak{x} \in UX$ and $\mathfrak{y} \in UY$ we define

$$\mathfrak{x}(Ur)\mathfrak{y} : \iff r[\mathfrak{x}] \subset \mathfrak{y} \iff r^\circ[\mathfrak{y}] \subset \mathfrak{x}.$$

In [7] it is shown that it holds indeed $\bigwedge_{\substack{A \in \mathfrak{x} \\ B \in \mathfrak{y}}} \bigvee_{\substack{x \in A \\ y \in B}} a(x, y) = \bigvee \{ \gamma \in \mathbf{V} \mid \mathfrak{x}(Ua_\gamma)\mathfrak{y} \}$, for all ultrafilters $\mathfrak{x} \in UX$ and $\mathfrak{y} \in UY$. The argument used there still works when we substitute \mathfrak{y} by a filter base \mathfrak{f} , hence we also have $\bigwedge_{\substack{A \in \mathfrak{x} \\ B \in \mathfrak{f}}} \bigvee_{\substack{x \in A \\ y \in B}} a(x, y) = \bigvee \{ \gamma \in \mathbf{V} \mid a_\gamma^\circ[\mathfrak{f}] \subset \mathfrak{x} \}$. Besides the properties listed above, this extension satisfies

- (6) $Ub \cdot Ua = U(b \cdot a)$ provided that $\otimes = \wedge$,
- (7) $Ug \cdot Ua = U(g \cdot a)$ and $Ub \cdot Uf = U(b \cdot f)$,
- (8) $m_Y \cdot U^2a = Ua \cdot m_X$,

for all $a : X \rightrightarrows Y$, $b : Y \rightrightarrows Z$, $f : X \rightarrow Y$ and $g : Y \rightarrow Z$. We remark that the last property is implied by the following useful fact: for each map $f : X \rightarrow Y$, $\mathfrak{x} \in UX$ and $\mathfrak{y} \in U^2Y$ with $Uf(\mathfrak{x}) = m_Y(\mathfrak{y})$, there exists $\mathfrak{x} \in U^2X$ such that $m_X(\mathfrak{x}) = \mathfrak{x}$ and $U^2f(\mathfrak{x}) = \mathfrak{y}$.

Though a monad might have more than one extension to $\text{Mat}(\mathbf{V})$ (see [9]), in this article we only consider the extension of U (resp. 1) mentioned in this subsection.

1.5. Extension Lemma. This Lemma plays an essential role in many proofs using ultrafilters (see [22], for instance). It basically states that if a filter is in relation with an ultrafilter, then this filter can be extended to an ultrafilter with the same property. We shall now extend this lemma to \mathbf{V} -matrices, provided that \mathbf{V} fulfils an additional condition. Concretely, we require that the atoms $\alpha \sqsubset \gamma$ below an element γ behave well, either collectively – $\text{At}(\gamma)$ is up-directed – or individually – each $\alpha \in \text{At}(\gamma)$ is connected. Here a subset $A \subset \mathbf{V}$ is called up-directed if any two elements of A have an upper bound. An element $\alpha \in \mathbf{V}$ is connected if $\alpha \leq \beta_1 \vee \beta_2$ implies $\alpha \leq \beta_1$ or $\alpha \leq \beta_2$.

Lemma. *Let $a : X \times Y \rightarrow \mathbf{V}$, $\mathfrak{x} \in UX$ and $\mathfrak{f} \in FY$. Moreover, assume that \mathbf{V} is \sqsubset -atomic and that $\alpha \in \mathbf{V}$ is such that*

$$\alpha \leq \bigvee \{ \gamma \in \mathbf{V} \mid a_\gamma^\circ[\mathfrak{f}] \subset \mathfrak{x} \} = \bigwedge_{\substack{A \in \mathfrak{x} \\ B \in \mathfrak{f}}} \bigvee_{\substack{x \in A \\ y \in B}} a(x, y),$$

with α connected or $\text{At}(\alpha)$ up-directed. Then there exists an ultrafilter $\eta \in UY$ such that $\mathfrak{f} \subset \eta$ and $Ua(\mathfrak{r}, \eta) \geq \alpha$.

Proof. If $\alpha = \perp$, we choose any ultrafilter η containing \mathfrak{f} . Assume $\alpha \neq \perp$. We define an ideal \mathfrak{j} on Y by

$$\mathfrak{j} := \{B \subset Y \mid \exists \alpha_0 \in \text{At}(\alpha) \ a_{\alpha_0}^\circ[B] \notin \mathfrak{r}\} = \{B \subset Y \mid \exists A \in \mathfrak{r} \ \bigvee_{\substack{x \in A \\ y \in B}} a(x, y) \not\leq \alpha\}.$$

Obviously, $\mathfrak{j} \cap \mathfrak{f} = \emptyset$, $\emptyset \in \mathfrak{j}$ and \mathfrak{j} is down-directed. Let $B_1, B_2 \in \mathfrak{j}$. We consider first $\text{At}(\alpha)$ up-directed. There exist $\alpha_1, \alpha_2 \in \text{At}(\alpha)$ such that

$$a_{\alpha_1}^\circ[B_1] \notin \mathfrak{r} \quad \text{and} \quad a_{\alpha_2}^\circ[B_2] \notin \mathfrak{r}.$$

Let α_0 be any upper bound of α_1 and α_2 . Then

$$a_{\alpha_0}^\circ[B_1 \cup B_2] = a_{\alpha_0}^\circ[B_1] \cup a_{\alpha_0}^\circ[B_2] \subset a_{\alpha_1}^\circ[B_1] \cup a_{\alpha_2}^\circ[B_2] \notin \mathfrak{r}$$

and therefore $B_1 \cup B_2 \in \mathfrak{j}$.

Assume now that α is connected. We choose A_1 and A_2 with $\bigvee_{\substack{x \in A_i \\ y \in B_i}} a(x, y) \not\leq \alpha$, for $i \in \{1, 2\}$. Connectedness of α implies

$$\bigvee_{\substack{x \in A_1 \\ y \in B_1}} a(x, y) \vee \bigvee_{\substack{x \in A_2 \\ y \in B_2}} a(x, y) \not\leq \alpha.$$

From

$$\bigvee_{\substack{x \in A_1 \cap A_2 \\ y \in B_1 \cup B_2}} a(x, y) \leq \bigvee_{\substack{x \in A_1 \\ y \in B_1}} a(x, y) \vee \bigvee_{\substack{x \in A_2 \\ y \in B_2}} a(x, y)$$

it follows $\bigvee_{\substack{x \in A_1 \cap A_2 \\ y \in B_1 \cup B_2}} a(x, y) \not\leq \alpha$, hence $B_1 \cup B_2 \in \mathfrak{j}$.

The Prime Ideal Theorem (see Section 2 of [15]) guarantees the existence of an ultrafilter $\eta \in UY$ such that $\mathfrak{f} \subset \eta$ and $\eta \cap \mathfrak{j} = \emptyset$, that is, $Ua(\mathfrak{r}, \eta) \geq \alpha$. \square

Corollary. *Assume that \mathbf{V} is \sqsubset -atomic and that, for each $\gamma \in \mathbf{V}$, $\text{At}(\gamma)$ is up-directed or each $\gamma_0 \in \text{At}(\gamma)$ is connected. Let $a : X \times Y \rightarrow \mathbf{V}$, $\mathfrak{r} \in UX$ and $\mathfrak{f} \in FY$. Then, for each $\alpha \in \text{At}(\bigvee\{\gamma \in \mathbf{V} \mid a_\gamma^\circ[\mathfrak{f}] \subset \mathfrak{r}\})$, there exists an ultrafilter η_α such that $\mathfrak{f} \subset \eta_\alpha$ and $Ua(\mathfrak{r}, \eta_\alpha) \geq \alpha$.*

For $\mathbf{V} = \overline{\mathbb{R}}_+$ or $\mathbf{V} = \mathbf{2}$, both possible conditions above are fulfilled. Note that $\mathbf{V} = \mathbf{2}$ is a special case of $\mathbf{V} = \mathbf{2}^X$, the power set of a set X , ordered by inclusion \subset with $\otimes = \cap$. Then $\mathbf{2}^X$ is \sqsubset -atomic, each atom is connected but in general $\text{At}(A)$ is not up-directed.

1.6. **Lax algebras.** Let \mathbb{T} be a **Set**-monad laxly extended to $\text{Mat}(\mathbf{V})$. Our main interest lies in the study of categories with objects *lax algebras* (X, a) – that is: sets X equipped with a structure $a : TX \rightrightarrows X$ in $\text{Mat}(\mathbf{V})$ – and *lax homomorphisms* $f : (X, a) \rightarrow (Y, b)$ between them, i.e. maps $f : X \rightarrow Y$ satisfying $f \cdot a \leq b \cdot Tf$. A \mathbf{V} -matrix $a : TX \rightrightarrows X$ is called

- (1) *reflexive* if $1_X \leq a \cdot e_X$,
- (2) *transitive* if $a \cdot Ta \leq a \cdot m_X$.

Note that these axioms are just lax versions of the Eilenberg-Moore axioms of a \mathbb{T} -algebra. Expressed componentwise, they read as

- (1') $k \leq a(e_X(x), x)$,
- (2') $Ta(\mathfrak{X}, \mathfrak{r}) \otimes a(\mathfrak{r}, x) \leq a(m_X(\mathfrak{X}), x)$,

for all $\mathfrak{X} \in U^2X$, $\mathfrak{r} \in UX$ and $x \in X$. In the sequel we shall say that a lax algebra (X, a) is reflexive, transitive, \dots , if a is so. We denote by $\text{Alg}(T; \mathbf{V})$ the category of lax algebras and lax homomorphisms, by $\text{Alg}(T, e; \mathbf{V})$ its full subcategory of reflexive lax algebras and by $\text{Alg}(\mathbb{T}; \mathbf{V})$ its full subcategory of reflexive and transitive lax algebras. If $\mathbb{T} = 1$, a reflexive lax algebra is a reflexive \mathbf{V} -graph and a reflexive and transitive lax algebra is a \mathbf{V} -category; moreover, a lax homomorphism is a \mathbf{V} -functor (see [16]). We write **V-RGph** instead of $\text{Alg}(\text{Id}, \text{id}; \mathbf{V})$ and **V-Cat** instead of $\text{Alg}(1; \mathbf{V})$. Note that \mathbf{V} equipped with the \mathbf{V} -matrix $\text{hom} : \mathbf{V} \times \mathbf{V} \rightarrow \mathbf{V}$ is a \mathbf{V} -category.

We obtain full embeddings $\text{Alg}(\mathbb{T}; \mathbf{V}) \hookrightarrow \text{Alg}(T, e; \mathbf{V}) \hookrightarrow \text{Alg}(T; \mathbf{V})$. All three categories are topological (in the sense of [1]) over **Set** with respect to their canonical forgetful functor, and in each case the initial structure a on a set X with respect to a source $(f_i : X \rightarrow (X_i, a_i))_{i \in I}$ is given by

$$a = \bigwedge_{i \in I} f_i^\circ \cdot a_i \cdot Tf_i.$$

Therefore we see that all inclusion functors above have a left adjoint. We also remark that T extends to a functor $T : \text{Alg}(T; \mathbf{V}) \rightarrow \text{Alg}(T; \mathbf{V})$ which preserves initial morphisms $f : (X, a) \rightarrow (Y, b)$ ¹ since $T(f^\circ \cdot b \cdot Tf) = Tf^\circ \cdot Tb \cdot T^2f$.

1.7. **Examples.** A **2**-category is just a preordered set and a **2**-functor is a order-preserving map, whereby an $\overline{\mathbb{R}}_+$ -category is a (generalized) metric space and an $\overline{\mathbb{R}}_+$ -functor is a non-expansive map.

In [2] Barr describes the topological convergence relations $\mathfrak{r} \rightarrow x$ between ultrafilters \mathfrak{r} on a set X and points $x \in X$ as exactly those relations which satisfy the lax Eilenberg-Moore axioms for the extension of \mathbf{U} to $\mathbf{Rel} \cong \text{Mat}(\mathbf{2})$. In other words: $\text{Alg}(\mathbf{U}; \mathbf{2}) \cong \mathbf{Top}$.

¹that is: a is initial for $f : X \rightarrow (Y, b)$

Approach spaces were introduced by Lowen (see [17]) as a common framework for both topological and metric structures. Being precise, an approach space is a pair (X, δ) consisting of a set X and a function $\delta : \mathbf{2}^X \times X \rightarrow \overline{\mathbb{R}}_+$ subject to

- (1) $\delta(\{x\}, x) = 0$,
- (2) $\delta(\emptyset, x) = \infty$,
- (3) $\delta(A \cup B, x) = \min\{\delta(A, x), \delta(B, x)\}$,
- (4) $\delta(A, x) \leq \delta(A^{(\varepsilon)}, x) + \varepsilon$;

for each $A, B \subset X$, $x \in X$ and $\varepsilon \in \overline{\mathbb{R}}_+$. Here $A^{(\varepsilon)} = \{x \in X \mid \delta(A, x) \leq \varepsilon\}$. A function $\delta : \mathbf{2}^X \times X \rightarrow \overline{\mathbb{R}}_+$ satisfying the axioms above is called *approach distance* on X . If δ takes only the values 0 and ∞ , these are the axioms of a topological closure operator considering $\overline{A} = A^{(0)}$. For $\delta : \mathbf{2}^X \times X \rightarrow \overline{\mathbb{R}}_+$ and $\delta' : \mathbf{2}^Y \times Y \rightarrow \overline{\mathbb{R}}_+$, a map $f : X \rightarrow Y$ is called *non-expansive* if $\delta(A, x) \geq \delta'(f[A], f(x))$ for each $A \subset X$ and $x \in X$. Approach spaces and non-expansive maps are the objects and morphisms of the category **Ap**. Each $\delta : \mathbf{2}^X \times X \rightarrow \overline{\mathbb{R}}_+$ defines a map $a : UX \times X \rightarrow \overline{\mathbb{R}}_+$ by

$$a(\mathbf{x}, x) = \sup_{A \in \mathbf{x}} \delta(A, x),$$

and vice versa, each $a : UX \times X \rightarrow \overline{\mathbb{R}}_+$ defines a function $\delta : \mathbf{2}^X \times X \rightarrow \overline{\mathbb{R}}_+$ by

$$\delta(A, x) = \inf_{A \in \mathbf{x}} a(\mathbf{x}, x).$$

In [6] it is shown that the functions $a : UX \times X \rightarrow \overline{\mathbb{R}}_+$ coming from a approach distance are precisely those satisfying the lax Eilenberg-Moore axioms for the lax extension of **U** to $\text{Mat}(\overline{\mathbb{R}}_+)$. Hence we have $\text{Alg}(\mathbf{U}; \overline{\mathbb{R}}_+) \cong \mathbf{Ap}$.

1.8. Kleisli composition. Given a lax extension of a **Set**-monad **T** to $\text{Mat}(\mathbf{V})$, the *Kleisli “category”* has as objects sets and a morphism from X to Y is a **V**-matrix $a : TX \rightarrow Y$. Composition is given by *Kleisli composition*

$$b * a := b \cdot Ta \cdot m_X^\circ,$$

$$\begin{array}{ccc} TX & TY & \\ \downarrow a & \downarrow b & \\ Y & Z & \end{array} \quad \mapsto \quad \begin{array}{ccc} TX & \xrightarrow{m_X^\circ} & T^2X \\ & \searrow b*a & \downarrow Ta \\ & & TY \\ & & \downarrow b \\ & & Z \end{array}$$

for all $b : TY \rightarrow Z$ and $a : TX \rightarrow Y$. For each $a : TX \rightarrow Y$ it holds $a * e_X^\circ = a$ and $e_Y^\circ * a \geq a$, that is, the **V**-matrix e_X° is a lax identity for this composition. Moreover, we have

$$c * (b * a) \leq (c * b) * a \quad \text{or} \quad c * (b * a) \geq (c * b) * a$$

provided that $T : \text{Mat}(\mathbf{V}) \rightarrow \text{Mat}(\mathbf{V})$ is a functor or m extends to a (strict) natural transformation respectively. Of course, for $\mathbf{T} = \mathbf{1}$ the Kleisli category coincides with $\text{Mat}(\mathbf{V})$. Reflexivity and transitivity of $a : TX \dashv\rightarrow X$ can be now equivalently expressed by the inequalities

$$e_X^\circ \leq a, \quad a * a \leq a.$$

1.9. Elementary structures. To derive properties of a lax algebra (X, a) , it will be often useful to “isolate” values $\gamma = a(\mathfrak{r}, x)$. For the ultrafilter monad \mathbf{U} and \sqsubset -atomic \mathbf{V} , this can be done using the following structure. Let X be a set and $\mathfrak{r} \in X$, $x \in X$ and $\gamma \in \mathbf{V}$. We put $Y = X_1 + X_2$, where $X_1 = X_2 = X$, and define a structure $a_{\mathfrak{r},x}^\gamma : UY \times Y \rightarrow \mathbf{V}$ by putting

$$a_{\mathfrak{r},x}^\gamma(\mathfrak{h}, y) = \begin{cases} k & \text{if } \mathfrak{h} = \dot{y}, \\ \gamma & \text{if } \mathfrak{h} = \mathfrak{r} \in UX_1 \text{ and } y = x \in X_2, \\ \perp & \text{else,} \end{cases}$$

for each $\mathfrak{h} \in UY$ and $y \in Y$. In this subsection we will always consider \mathfrak{r} as an ultrafilter on the component X_1 of Y and $x \in X_2$. It is clear from the definition that $a_{\mathfrak{r},x}^\gamma$ is reflexive. We are now going to show that it is also transitive. In order to see that, let $\mathfrak{h} \in U^2Y$, $\mathfrak{h} \in UY$ and $y \in Y$. We consider first the case $\mathfrak{h} = \dot{y}$. If

$$Ub(\mathfrak{h}, \dot{y}) = \bigwedge_{\mathcal{B} \in \mathfrak{h}} \bigvee_{\mathfrak{b} \in \mathcal{B}} b(\mathfrak{b}, y) > \perp,$$

it follows $\{\dot{y}, \mathfrak{r}\} \in \mathfrak{h}$ which implies $\mathfrak{h} = \dot{y}$ or $\mathfrak{h} = \mathfrak{r}$. Note that the latter case is only possible if $y = x$. Hence in both cases we have

$$Ub(\mathfrak{h}, \dot{y}) = b(m_Y(\mathfrak{h}), y).$$

We now consider $\mathfrak{h} = \mathfrak{r}$ and $y = x$. Here

$$Ub(\mathfrak{h}, \mathfrak{r}) = \bigwedge_{\substack{\mathcal{B} \in \mathfrak{h} \\ A \in \mathfrak{r}}} \bigvee_{\substack{\mathfrak{b} \in \mathcal{B} \\ z \in A}} b(\mathfrak{b}, z) > \perp$$

implies $\mathfrak{h} = Ue_Y(\mathfrak{r})$ and therefore

$$Ub(\mathfrak{h}, \mathfrak{r}) \otimes b(\mathfrak{r}, x) = k \otimes \gamma = \gamma = b(m_Y(\mathfrak{h}), y).$$

2. UNITARY STRUCTURES

2.1. Pretopological spaces. Pretopological spaces were essentially introduced by Choquet in [5]; for more informations see also [3, 13]. A *pretopology* a on a set X is a relation $\mathfrak{r} \rightarrow x$ between ultrafilters and points which fulfils, for each $x \in X$ and $\mathfrak{r} \in UX$,

$$\dot{x} \rightarrow x \quad \text{and} \quad \mathfrak{r} \rightarrow x \text{ whenever } \bigcap_{\mathfrak{h} \rightarrow x} \mathfrak{h} \subset \mathfrak{r}.$$

This can be equivalently expressed by saying that to each point $x \in X$ is associated a neighborhood filter $\mathcal{V}(x) \subset \dot{x}$ and defining $\mathfrak{r} \rightarrow x$ precisely if $\mathcal{V}(x) \subset \mathfrak{r}$. A *pretopological space* is a set X equipped with a pretopology; together with continuous maps they form the category **PrTop**. Recall that the Zariski closure on UX is defined by $\mathfrak{r} \in \overline{\mathcal{A}} : \iff \bigcap \mathcal{A} \subset \mathfrak{r}$, for all $\mathfrak{r} \in UX$ and $\mathcal{A} \subset UX$. Hence the second condition above just states that the set $\{\eta \in UX \mid \eta \rightarrow x\}$ is closed in UX with respect to the Zariski closure. In [14] we observed that this is equivalent to $e_X^\circ * a = a$.

2.2. Preapproach spaces [18, 19]. A *preapproach limit* a on a set X is a function $a : UX \times X \rightarrow \overline{\mathbb{R}}_+$ which fulfils, for each $x \in X$ and $\mathfrak{r} \in UX$,

$$a(\dot{x}, x) = 0 \quad \text{and} \quad a(\mathfrak{r}, x) \leq \sup\{a(\eta_i, x) \mid i \in I\} \text{ whenever } \bigcap_{i \in I} \eta_i \subset \mathfrak{r}.$$

A *preapproach space* is a pair (X, a) consisting of a set X and a preapproach limit a on X . **PrAp** denotes the category of preapproach spaces and non-expansive maps. As in the case of pretopological spaces, the second axiom is equivalent to $e_X^\circ * a = a$.

2.3. Definition and basic properties. Guided by the two previous examples, we introduce the following

Definition. A \mathbf{V} -matrix $a : TX \rightarrow X$ is called *unitary* provided that $e_X^\circ * a \leq a$.

Therefore a unitary \mathbf{V} -matrix $a : TX \rightarrow X$ satisfies $e_X^\circ * a = a$. We let $\text{Alg}_u(\mathbf{T}; \mathbf{V})$ denote the category of reflexive and unitary lax algebras and lax homomorphisms. The two examples above tell us that $\text{Alg}_u(\mathbf{U}, \mathbf{2}) \cong \mathbf{PrTop}$ and $\text{Alg}_u(\mathbf{U}, \overline{\mathbb{R}}_+) \cong \mathbf{PrAp}$. The canonical forgetful functor $|-| : \text{Alg}_u(\mathbf{T}; \mathbf{V}) \rightarrow \mathbf{Set}$ is topological: the initial structure a on X with respect to a source $(f_i : X \rightarrow (X_i, a_i))_{i \in I}$ is also given by

$$a = \bigwedge_{i \in I} f_i^\circ \cdot a_i \cdot T f_i.$$

We infer that the full embeddings $\text{Alg}(\mathbf{T}; \mathbf{V}) \hookrightarrow \text{Alg}_u(\mathbf{T}; \mathbf{V})$ and $\text{Alg}_u(\mathbf{T}; \mathbf{V}) \hookrightarrow \text{Alg}(T, e; \mathbf{V})$ are right adjoints. In the latter case the reflector R has a simple description provided that T preserves composition of \mathbf{V} -matrices with **Set**-maps: $R(X, a) = (X, e_X^\circ * a)$.

2.4. Embedding \mathbf{V} -Cat. Assume that \mathbf{V} is \square -atomic and let X be a set. We define order-preserving maps

$$\{r : X \rightarrow X\} \begin{array}{c} \xleftarrow{\phi} \\ \xrightarrow{\psi} \end{array} \{a : UX \rightarrow X\}$$

by putting

$$\phi(r) = e_X^\circ \cdot Ur \quad \text{and} \quad \psi(a) = a \cdot e_X.$$

Componentwise, this translates to

$$\phi(r)(\mathfrak{r}, x) = \bigwedge_{A \in \mathfrak{r}} \bigvee_{y \in A} r(y, x) \quad \text{and} \quad \psi(a)(y, x) = a(\overset{\bullet}{y}, x).$$

It follows that $r = \psi\phi(r)$ for each $r : X \rightarrow X$. For each unitary a we have $a \geq \phi\psi(a)$: $\phi\psi(a) = e_X^\circ \cdot Ua \cdot Ue_X \leq e_X^\circ \cdot Ua \cdot m_X^\circ = e_X^\circ * a = a$. Further, for all $r : X \rightarrow X$, $\mathfrak{r} \in UX$, $x \in X$ and $\gamma \in \mathbf{V}$,

$$\phi(r)(\mathfrak{r}, x) \geq \gamma \iff \forall \gamma_0 \in \text{At}(\gamma) \ r_{\gamma_0}^\circ(x) \in \mathfrak{r} \iff \forall \gamma_0 \in \text{At}(\gamma) \ \mathfrak{r}\phi(r_{\gamma_0})x.$$

Hence we have $\phi(r)_\gamma = \bigcap_{\gamma_0 \in \text{At}(\gamma)} \phi(r_{\gamma_0})$, and therefore $\phi(r_\gamma) \leq \phi(r)_\gamma$. We also obtain $\phi(s \wedge r) = \phi(s) \wedge \phi(r)$ for all $r, s : X \rightarrow X$: Since ϕ preserves the order, we have $\phi(s \wedge r) \leq \phi(s) \wedge \phi(r)$. Let $\mathfrak{r} \in UX$, $x \in X$ and $\gamma \in \text{At}(\phi(s) \wedge \phi(r)(\mathfrak{r}, x))$. We have $s_\gamma^\circ(x) \in \mathfrak{r}$ and $r_\gamma^\circ(x) \in \mathfrak{r}$ and then

$$(s \wedge r)_\gamma^\circ(x) = s_\gamma^\circ(x) \cap r_\gamma^\circ(x) \in \mathfrak{r}.$$

Lemma. For each $r, s : X \rightarrow X$ and $a : UX \rightarrow X$,

- (1) $a \cdot m_X \leq e_X^\circ \cdot Ua$ implies $a \leq \phi\psi(a)$.
- (2) $\phi(s) \cdot U\phi(r) \leq \phi(s \cdot r) \cdot m_X$.
- (3) $e_X^\circ \cdot U\phi(r) = \phi(r) \cdot m_X$.
- (4) $\phi(s) \cdot U\phi(r) = \phi(s \cdot r) \cdot m_X$ provided that $\otimes = \wedge$ and, for each $\gamma \in \mathbf{V}$, $\text{At}(\gamma)$ is up-directed or each $\gamma_0 \in \text{At}(\gamma)$ is connected.

Proof. (1) From $a \cdot m_X \leq e_X^\circ \cdot Ua$ we obtain

$$a = a \cdot m_X \cdot Ue_X \leq e_X^\circ \cdot Ua \cdot Ue_X = \phi\psi(a).$$

$$\begin{aligned} (2) \quad \phi(s) \cdot U\phi(r) &= e_X^\circ \cdot Us \cdot Ue_X^\circ \cdot U^2r \\ &\leq e_X^\circ \cdot Us \cdot m_X \cdot U^2r \\ &= e_X^\circ \cdot Us \cdot Ur \cdot m_X \\ &\leq e_X^\circ \cdot U(s \cdot r) \cdot m_X = \phi(s \cdot r) \cdot m_X. \end{aligned}$$

(3) Let $\mathfrak{X} \in U^2X$, $x \in X$ and $\gamma_0 \in \text{At}(\phi(r)(m_X(\mathfrak{X}), x))$. It holds $r_{\gamma_0}^\circ(x) \in m_X(\mathfrak{X})$, and therefore $r_{\gamma_0}^\circ(x)^\# \in \mathfrak{X}$. Consequently, each $\mathcal{A} \in \mathfrak{X}$ contains some \mathfrak{a} with $\phi(r)(\mathfrak{a}, x) \geq \gamma_0$. We obtain $Ua(\mathfrak{X}, \overset{\bullet}{x}) \geq \gamma_0$.

(4) We assume now that $\otimes = \wedge$ and that $\text{At}(\gamma)$ is up-directed or each $\gamma_0 \in \text{At}(\gamma)$ is connected, for each $\gamma \in \mathbf{V}$. Let $\mathfrak{X} \in U^2X$, $x \in X$ and $\gamma_0 \in \text{At}(\phi(s \cdot r)(m_X(\mathfrak{X}), x))$. As above we have $(s \cdot r)_{\gamma_0}^\circ(x)^\# \in \mathfrak{X}$. Hence for each $\gamma_1 \in \text{At}(\gamma_0)$ it holds $(r_{\gamma_1}^\circ \cdot s_{\gamma_1}^\circ)(x)^\# \in \mathfrak{X}$. Consequently, for each $\mathcal{A} \in \mathfrak{X}$, there exist some $\mathfrak{a} \in \mathcal{A}$ and $y \in s_{\gamma_1}^\circ(x)$ such that $\phi(r)(\mathfrak{a}, y) \geq \gamma_1$. Corollary 1.5 implies that, for each

$\alpha \in \text{At}(\gamma_1)$, there exists $\mathfrak{r}_\alpha \in UX$ with $U\phi(r)(\mathfrak{X}, \mathfrak{r}_\alpha) \geq \alpha$ and $\phi(s)(\mathfrak{r}_\alpha, x) \geq \gamma_1$. Therefore $\phi(s) \cdot U\phi(r)(\mathfrak{X}, x) \geq \gamma_1$. \square

The lemma above implies that ϕ is a lax homomorphism with respect to the Kleisli composition:

$$\phi(\text{id}_X) = e_X^\circ \quad \text{and} \quad \phi(s) * \phi(r) \leq \phi(s \cdot r).$$

It is not hard to see that the same is true for ψ :

$$\psi(e_X^\circ) = \text{id}_X \quad \text{and} \quad \psi(b) \cdot \psi(a) \leq \psi(b * a).$$

We conclude that $\phi(r)$ is unitary and $\phi(r)$ resp. $\psi(a)$ is reflexive or transitive if r resp. a is so. Hence ϕ and ψ induce pairs of adjoint functors

$$\mathbf{V}\text{-RGph} \overset{\leftarrow}{\underset{\top}{\rightleftarrows}} \text{Alg}_u(\mathbf{U}; \mathbf{V}) \quad \text{and} \quad \mathbf{V}\text{-Cat} \overset{\leftarrow}{\underset{\top}{\rightleftarrows}} \text{Alg}(\mathbf{U}; \mathbf{V}),$$

where the left adjoint inclusion functor preserves finite limits. In particular, \mathbf{V} becomes an $\text{Alg}(\mathbf{U}; \mathbf{V})$ -object (\mathbf{V}, h) with $h := \phi(\text{hom})$. Finally, we can characterize those structures $a : UX \dashrightarrow X$ coming from a \mathbf{V} -matrix $r : X \dashrightarrow X$:

$$a = \phi\psi(a) \iff a \cdot m_X = e_X^\circ \cdot Ua.$$

2.5. Extensional topological hulls. Among other results it is shown in [13] that **PrTop** is the extensional topological hull of **Top**, that is, the smallest extensional topological category containing **Top** nicely. We present here the results and definitions necessary for this paper; for more information we refer to [13].

Let \mathbf{A} be a category and \mathcal{S} be a pullback-stable class of morphisms of \mathbf{A} . A \mathcal{S} -partial map from X to Y is a pair $(X \xleftarrow{s} Z \rightarrow Y)$ where $s \in \mathcal{S}$. We say that \mathbf{A} has \mathcal{S} -partial map classifiers if, for every $Y \in \mathbf{A}$, there is an \mathcal{S} -morphism $\text{true}_Y : Y \rightarrow Y^*$ such that every \mathcal{S} -partial map $(X \xleftarrow{s} Z \rightarrow Y)$ from X to Y can be uniquely completed so that the diagram

$$\begin{array}{ccc} Z & \longrightarrow & Y \\ s \downarrow & & \downarrow \text{true}_Y \\ X & \dashrightarrow & Y^* \end{array}$$

is a pullback. If \mathbf{A} is a construct (i.e., \mathbf{A} is equipped with a forgetful functor $|-| : \mathbf{A} \rightarrow \mathbf{Set}$) and $\mathcal{S} = \{\text{embeddings}\}$, we simply say partial map instead of \mathcal{S} -partial map. A topological construct which has partial map classifiers is called *extensional*. By an *extensional topological hull* of a construct \mathbf{A} we understand a full concrete embedding $E : \mathbf{A} \hookrightarrow \mathbf{B}$ such that \mathbf{B} is an extensional topological construct and E is finally dense, and for each full, concrete, finally dense embedding $F : \mathbf{A} \rightarrow \mathbf{B}'$ with \mathbf{B}' extensional there exists a unique full concrete embedding $G : \mathbf{B} \rightarrow \mathbf{B}'$ with $G \cdot E = F$. There is, up to isomorphism,

at most one extensional topological hull of a category \mathbf{A} . It is characterized by the following theorem.

Theorem. *The extensional topological hull \mathbf{B} of a construct \mathbf{A} is characterized by the following properties:*

- (1) \mathbf{B} is an extensional topological construct.
- (2) \mathbf{A} is a finally dense full concrete subcategory of \mathbf{B} .
- (3) $\{X^* \mid X \in \mathbf{A}\}$ is initially dense in \mathbf{B} .

We will now use the theorem above to show that $\text{Alg}_u(\mathbf{U}; \mathbf{V})$ is the extensional topological hull of $\text{Alg}(\mathbf{U}; \mathbf{V})$, provided that \mathbf{V} satisfies further properties.

2.6. One-point extensions. Assume that \mathbf{V} is \sqsubset -atomic and let $(X, a) \in \text{Alg}_u(\mathbf{U}; \mathbf{V})$. We put

$$X^* = X + \{*\}$$

and extend a to X^* by defining

$$a(\mathfrak{r}, *) = \top, \quad \text{and} \quad a(\overset{\bullet}{*}, x) = \top,$$

for all $\mathfrak{r} \in UX$ and $x \in X^*$. It is easy to see that (X^*, a) is again unitary and that $(X, a) \hookrightarrow (X^*, a)$ classifies partial morphisms to (X, a) . We conclude that $\text{Alg}_u(\mathbf{U}; \mathbf{V})$ is extensional.

2.7. Initially dense object. Assume that \mathbf{V} is \sqsubset -atomic and $k = \top$. Let $(X, a) \in \text{Alg}_u(\mathbf{U}; \mathbf{V})$. For each $A \subset X$ and $x \in X$ we define a map $g_{A,x} : X \rightarrow \mathbf{V}^*$ by putting

$$g_{A,x}(y) = \begin{cases} \bigvee_{A \in \mathfrak{a}} a(\mathfrak{a}, y) & \text{if } y \in A \cup \{x\}, \\ * & \text{else;} \end{cases}$$

for each $y \in X$. We are going to show that $g_{A,x}$ is a lax homomorphism. If $\bigvee_{A \in \mathfrak{a}} a(\mathfrak{a}, x) = k$, then $g_{A,x}[X] \subset \{k, *\}$ and hence $g_{A,x}$ is a lax homomorphism. Otherwise, put $\gamma := \bigvee_{A \in \mathfrak{a}} a(\mathfrak{a}, x) < k$ and let $\mathfrak{h} \in UX$ and $y \in X$. We only have to consider the case $Ug_{A,x}(\mathfrak{h}) = \overset{\bullet}{k}$ and $g_{A,x}(y) = \gamma$, that is: $A \in \mathfrak{h}$ and $y = x$. Hence we have

$$k \otimes a(\mathfrak{h}, x) = a(\mathfrak{h}, x) \leq g_{A,x}(x)$$

from which follows

$$a(\mathfrak{h}, x) \leq \text{hom}(k, g_{A,x}(x)) = h(Ug_{A,x}(\mathfrak{h}), g_{A,x}(x)).$$

Lemma. *Assume that $k = \top$ and, for each $\gamma \in \mathbf{V}$, $\text{At}(\gamma)$ is up-directed or each $\gamma_0 \in \text{At}(\gamma)$ is connected. For each $(X, a) \in \text{Alg}_u(\mathbf{U}; \mathbf{V})$, $\mathfrak{r} \in UX$ and $x \in X$,*

$$a(\mathfrak{r}, x) = \bigwedge_{A \in \mathfrak{r}} h(Ug_{A,x}(\mathfrak{r}), g_{A,x}(x)).$$

Proof. Note that $Ug_{A,x}(\mathfrak{r}) = \dot{k}$ for each $\mathfrak{r} \in A^\#$, hence we have to show

$$a(\mathfrak{r}, x) \geq \bigwedge_{A \in \mathfrak{r}} g_{A,x}(x) = \bigwedge_{A \in \mathfrak{r}} \bigvee_{\mathfrak{a} \in A^\#} a(\mathfrak{a}, x) =: \gamma.$$

Applying Corollary 1.5 to $\mathfrak{r}^\#$ and \dot{x} , for each $\alpha \in \text{At}(\gamma)$ there exists an ultrafilter $\mathfrak{X}_\alpha \in U^2X$ such that $\mathfrak{r}^\# \subset \mathfrak{X}_\alpha$ and $\alpha \leq Ua(\mathfrak{X}_\alpha, \dot{x})$. Since a is unitary, we have $\gamma \leq a(\mathfrak{r}, x)$. \square

Corollary. *If $k = \top$ and, for each $\gamma \in \mathbf{V}$, $\text{At}(\gamma)$ is up-directed or each $\gamma_0 \in \text{At}(\gamma)$ is connected, then (\mathbf{V}^*, h) (see end of 2.4 and 2.6) is initially dense in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$.*

2.8. Theorem. *$\text{Alg}_u(\mathbf{U}; \mathbf{V})$ is the extensional topological hull of $\text{Alg}(\mathbf{U}; \mathbf{V})$ provided that $k = \top$, \mathbf{V} is \square -atomic and, for each $\gamma \in \mathbf{V}$, $\text{At}(\gamma)$ is up-directed or each $\gamma_0 \in \text{At}(\gamma)$ is connected.*

Proof. Combine 1.9, 2.6 and 2.7 and apply Theorem 2.5. \square

3. EXPONENTIABLE MORPHISMS IN $\text{Alg}_u(\mathbf{U}; \mathbf{V})$

3.1. Partial products. Recall that, by definition, a morphism $f : X \rightarrow Y$ in a finitely complete category \mathbf{X} is *exponentiable* if the pullback functor

$$X \times_Y - : \mathbf{X}/Y \rightarrow \mathbf{X}/Y$$

has a right adjoint. In [12] it is shown that this is equivalent to the existence of *partial products* over f , that is, for each $Z \in \mathbf{X}$ there is a diagram

$$\begin{array}{ccccc} Z & \xleftarrow{\text{ev}} & P \times_Y X & \xrightarrow{\pi_2} & X \\ & & \pi_1 \downarrow & & \downarrow f \\ & & P & \xrightarrow{p} & Y \end{array}$$

such that for every diagram

$$\begin{array}{ccccc} Z & \xleftarrow{\text{ev}'} & P' \times_Y X & \xrightarrow{\pi_2'} & X \\ & & \pi_1' \downarrow & & \downarrow f \\ & & P' & \xrightarrow{p'} & Y \end{array}$$

there exists a unique $t : P' \rightarrow P$ with $p \cdot t = p'$ and $\text{ev} \cdot (\text{id}_X \times_Y t) = \text{ev}'$. Considering $P' = (1, e_1^o)$, we see that, in any of the categories $\text{Alg}(U, e; \mathbf{V})$, $\text{Alg}_u(\mathbf{U}; \mathbf{V})$ or $\text{Alg}(\mathbf{U}; \mathbf{V})$, P should have as underlying set

$$P = \{(s, y) \mid y \in Y, s : (X_y, a_y) \rightarrow (Z, c)\}$$

where (X_y, a_y) is the domain of the pullback of $y : (1, e_1^\circ) \rightarrow (Y, b)$ along f , $p : P \rightarrow Y$ is the projection map and $\text{ev} : P \times_Y X \rightarrow Z$ is the evaluation map $(s, x) \mapsto s(x)$.

3.2. Exponentiation in $\text{Alg}(U, e; \mathbf{V})$. In [10] it is shown that the category $\text{Alg}(U, e; \mathbf{V})$ is locally cartesian closed provided that \mathbf{V} is so, that is, a Heyting algebra. For $f : (X, a) \rightarrow (Y, b)$ and (Z, c) in $\text{Alg}(U, e; \mathbf{V})$, the structure d on the partial product P is defined as (with $Q := P \times_Y X$)

$$d(\mathfrak{p}, (s, y)) = \bigvee \left\{ \gamma \in \mathbf{V} \left| \begin{array}{l} \gamma \leq b(U p(\mathfrak{p}), y) \ \& \\ \forall \mathfrak{q} \in U \pi_1^{-1}(\mathfrak{p}), x \in f^{-1}(y) \ a(U \pi_2(\mathfrak{q}), x) \wedge \gamma \leq c(U \text{ev}(\mathfrak{q}), s(x)) \end{array} \right. \right\},$$

for every $\mathfrak{p} \in UP$ and $(s, y) \in P$.

3.3. Partial products coincide. Assume that \mathbf{V} is \square -atomic and a Heyting algebra. Let $f : (X, a) \rightarrow (Y, b)$ and (Z, c) in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$ and assume that the partial product (P, d) of (Z, c) over f in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$ exists. In 3.1 we observed that it has the same underlying set as the partial product (P, d') of (Z, c) over f in $\text{Alg}(U, e; \mathbf{V})$. An argument similar to the one in [8], 3.3, shows that also the structures d and d' coincide: we just replace the two-element \mathbf{V} -category $(\{0, 1\}, e)$ used in [8] by the elementary structure described in 1.9.

Note that the same argument shows that partial products taken in $\text{Alg}(\mathbf{U}; \mathbf{V})$ and $\text{Alg}(U, e; \mathbf{V})$ coincide as well.

3.4. Lemma. *Assume that \mathbf{V} is \square -atomic. For each pullback*

$$\begin{array}{ccc} (P, d) & \xrightarrow{\pi_1} & (X, a) \\ \pi_2 \downarrow & & \downarrow f \\ (Y, b) & \xrightarrow{g} & (Z, c) \end{array}$$

in $\text{Alg}(U; \mathbf{V})$, $\mathfrak{P} \in U^2 P$, $x \in X$ and $y \in Y$ with $f(x) = g(y)$,

$$Ud(\mathfrak{P}, (x, y)) = Ua(U^2 \pi_1(\mathfrak{P}), \dot{x}) \wedge Ub(U^2 \pi_2(\mathfrak{P}), \dot{y}).$$

Proof. Since $U\pi_1$ and $U\pi_2$ are lax homomorphisms, we only have to show

$$Ud(\mathfrak{P}, (x, y)) \geq Ua(\mathfrak{X}, \dot{x}) \wedge Ub(\mathfrak{Y}, \dot{y}),$$

where $\mathfrak{X} = U^2 \pi_1(\mathfrak{P})$ and $\mathfrak{Y} = U^2 \pi_2(\mathfrak{P})$. Let $\alpha \in \text{At}(Ua(\mathfrak{X}, \dot{x}))$ and $\beta \in \text{At}(Ub(\mathfrak{Y}, \dot{y}))$. We have $\{\mathfrak{a} \in UX \mid a(\mathfrak{a}, x) \geq \alpha\} \in \mathfrak{X}$ and $\{\mathfrak{b} \in UX \mid b(\mathfrak{b}, y) \geq \beta\} \in \mathfrak{Y}$ and therefore $\{\mathfrak{d} \in UP \mid Ud(\mathfrak{d}, (x, y)) \geq \alpha \wedge \beta\} \in \mathfrak{P}$. \square

3.5. Theorem. *If \mathbf{V} is \sqsubset -atomic and a Heyting algebra, then $f : (X, a) \rightarrow (Y, b)$ in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$ is exponentiable if and only if,*

$$(\diamond) \quad \forall \mathfrak{X} \in U^2 X \quad \forall x \in X \quad Ua(\mathfrak{X}, \dot{x}) \geq Ub(Uf(\mathfrak{X}), f(\dot{x})) \wedge a(m_X(\mathfrak{X}), x).$$

Proof. We are first going to show that the condition (\diamond) is sufficient for exponentiability. Assume that $f : (X, a) \rightarrow (Y, b)$ in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$ satisfies (\diamond) and let $(Z, c) \in \text{Alg}_u(\mathbf{U}; \mathbf{V})$. We have to show that the partial product (see 3.2)

$$\begin{array}{ccc} (Z, c) & \xleftarrow{\text{ev}} & (Q, a \wedge d) & \xrightarrow{\pi_2} & (X, a) \\ & & \pi_1 \downarrow & & \downarrow f \\ & & (P, d) & \xrightarrow{p} & (Y, b) \end{array}$$

of (Z, c) over f taken in $\text{Alg}(U, e; \mathbf{V})$ actually lies in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$. To see that, let $\mathfrak{P} \in U^2 P$ and $(s, y) \in P$. According to the definition of the structure d on P ,

$$Ud(\mathfrak{P}, (s, y)) \leq d(m_P(\mathfrak{P}), (s, y))$$

holds precisely if

$$Ud(\mathfrak{P}, (s, y)) \leq b(Up(m_P(\mathfrak{P})), y)$$

and, for each $\mathfrak{q} \in UQ$ with $U\pi_1(\mathfrak{q}) = m_P(\mathfrak{P})$ and each $x \in f^{-1}(y)$,

$$Ud(\mathfrak{P}, (s, y)) \wedge a(U\pi_2(\mathfrak{q}), x) \leq c(U\text{ev}(\mathfrak{q}), s(x)).$$

The first inequality follows from

$$Ud(\mathfrak{P}, (s, y)) \leq Ub(U^2 p(\mathfrak{P}), \dot{y}) \leq b(m_Y(U^2 p(\mathfrak{P})), y) = b(Up(m_P(\mathfrak{P})), y).$$

From $U\pi_1(\mathfrak{q}) = m_P(\mathfrak{P})$ follows that there exists a $\mathfrak{Q} \in U^2 Q$ with (see remark at the end of 1.4)

$$m_Q(\mathfrak{Q}) = \mathfrak{q} \quad \text{and} \quad U^2 \pi_1(\mathfrak{Q}) = \mathfrak{P}.$$

We obtain

$$\begin{aligned} c(U\text{ev}(\mathfrak{q}), s(x)) &= c(m_Z(U^2 \text{ev}(\mathfrak{Q})), s(x)) \\ &\geq Uc(U^2 \text{ev}(\mathfrak{Q}), \dot{s}(x)) \\ &\geq U(a \wedge d)(\mathfrak{Q}, (s, x)) \\ &= Ua(U^2 \pi_2(\mathfrak{Q}), \dot{x}) \wedge Ud(\mathfrak{P}, (s, y)) \\ &\geq Ub(U^2(p \cdot \pi_1)(\mathfrak{Q}), \dot{y}) \wedge a(m_X(U^2 \pi_2(\mathfrak{Q})), x) \wedge Ud(\mathfrak{P}, (s, y)) \\ &= a(U\pi_2(\mathfrak{q}), x) \wedge Ud(\mathfrak{P}, (s, y)). \end{aligned}$$

To prove the necessity of condition (\diamond) , let $f : (X, a) \rightarrow (Y, b)$ be exponentiable in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$ and consider the partial product of (\mathbf{V}^*, h) over f in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$

$$\begin{array}{ccc} (\mathbf{V}^*, h) & \xleftarrow{\text{ev}} (Q, a \wedge d) & \xrightarrow{\pi_2} (X, a) \\ & & \downarrow \pi_1 \\ & & (P, d) \xrightarrow[p]{} (Y, b). \end{array}$$

For each $x \in X$ we define a lax homomorphism (with $y = f(x)$)

$$\begin{aligned} \delta_x : X_y &\rightarrow \mathbf{V}^* \\ z &\mapsto \begin{cases} k & \text{if } z = x, \\ * & \text{if } z \neq x. \end{cases} \end{aligned}$$

It induces a map $X \rightarrow P$, $x \mapsto (\delta_x, f(x))$; for every $A \subset X$, $\mathfrak{r} \in UX, \dots$, we will write $A^\natural, \mathfrak{r}^\natural, \dots$, for its image under this map.

For $\mathfrak{X} \in U^2X$ and $x_0 \in X$ we put $\mathfrak{Y} = U^2f(\mathfrak{X})$ and $y_0 = f(x_0)$. We have to show that, for each $\mathcal{A} \in \mathfrak{X}$,

$$\bigvee_{\mathbf{a} \in \mathcal{A}} a(\mathbf{a}, x_0) \geq Ub(\mathfrak{Y}, y_0) \wedge a(m_X(\mathfrak{X}), x_0).$$

Given $\mathcal{A} \in \mathfrak{X}$, we define a lax homomorphism

$$\begin{aligned} \lambda_{\mathcal{A}} : X_{y_0} &\rightarrow \mathbf{V}^* \\ x &\mapsto \begin{cases} \bigvee_{\mathbf{a} \in \mathcal{A}} a(\mathbf{a}, x_0) & \text{if } x = x_0, \\ * & \text{else.} \end{cases} \end{aligned}$$

Claim 1. For each $\mathcal{B} \in \mathfrak{X}$ and each atom $\beta \sqsubset Ub(\mathfrak{Y}, y_0^\bullet)$, there exists $\mathbf{a} \in \mathcal{B}$ such that $d(\mathbf{a}^\natural, (\lambda_{\mathcal{A}}, y_0)) \geq \beta$.

Proof (Claim 1). Since $Uf(\mathcal{A} \cap \mathcal{B}) \in \mathfrak{Y}$, there exists some $\mathbf{a} \in \mathcal{A} \cap \mathcal{B}$ with $b(Uf(\mathbf{a}), y_0) \geq \beta$. We will show that $d(\mathbf{a}^\natural, (\lambda_{\mathcal{A}}, y_0)) \geq \beta$. To see that, let $\mathfrak{q} \in UQ$ with $U\pi_1(\mathfrak{q}) = \mathbf{a}^\natural$. We have to show that

$$h(U\text{ev}(\mathfrak{q}), \lambda_{\mathcal{A}}(x_0)) \geq \beta \wedge a(U\pi_2(\mathfrak{q}), x_0).$$

From $U\pi_1(\mathfrak{q}) = \mathbf{a}^\natural$ follows $U\text{ev}(\mathfrak{q}) = \dot{k}$ or $U\text{ev}(\mathfrak{q}) = \dot{*}$. In the latter case we have $h(U\text{ev}(\mathfrak{q}), \lambda_{\mathcal{A}}(x_0)) = k$. If $U\text{ev}(\mathfrak{q}) = \dot{k}$, then $U\pi_2(\mathfrak{q}) = \mathbf{a}$ and hence $\lambda_{\mathcal{A}}(x_0) \geq a(U\pi_2(\mathfrak{q}), x_0)$. This finishes the proof of Claim 1.

We obtain $d(m_P(\mathfrak{X}^\natural), (\lambda_{\mathcal{A}}, y_0)) \geq Ud(\mathfrak{X}^\natural, (\lambda_{\mathcal{A}}, y_0)) \geq Ub(\mathfrak{Y}, y_0^\bullet)$.

Claim 2. There exists $\mathfrak{Q} \in U^2Q$ such that

- $U^2\pi_1(\mathfrak{Q}) = \mathfrak{X}^\natural$,
- $U^2\pi_2(\mathfrak{Q}) = \mathfrak{X}$ and
- $\Delta = \{\mathfrak{d} \in UQ \mid \text{ev}(\mathfrak{d}) = \dot{k}\} \in \mathfrak{Q}$.

Proof (Claim 2). We have to show that, for all $\mathcal{B}, \mathcal{C} \in \mathfrak{X}$,

$$U\pi_1^{-1}(\mathcal{B}^\natural) \cap U\pi_1^{-1}(\mathcal{C}) \cap \Delta \neq \emptyset.$$

We take any $\mathfrak{a} \in \mathcal{B} \cap \mathcal{C}$. For all $A, B \in \mathfrak{a}$ and $z \in A \cap B$ we have

$$(\delta_z, z) \in \pi_1^{-1}(A^\natural) \cap \pi_2^{-1}(B) \cap \{(\psi, x) \in Q \mid \psi(x) = k\},$$

hence $\{\pi_1^{-1}(A^\natural) \cap \pi_2^{-1}(B) \cap \{(\psi, x) \in Q \mid \psi(x) = k\} \mid A, B \in \mathfrak{a}\}$ is a filter on Q and any $\mathfrak{q} \in UQ$ containing it belongs to $U\pi_1^{-1}(\mathcal{B}^\natural) \cap U\pi_1^{-1}(\mathcal{C}) \cap \Delta$. This finishes the proof of Claim 2.

We conclude that

$$(d \wedge a)(m_Q(\mathfrak{Q}), (\lambda_{\mathcal{A}}, x_0)) \geq Ub(\mathfrak{Y}, y_0) \wedge a(m_X(\mathfrak{X}), x_0)$$

and therefore

$$\begin{aligned} \lambda_{\mathcal{A}}(x_0) &= \text{hom}(k, \lambda_{\mathcal{A}}(x_0)) = h(m_{\mathbf{V}}(U^2 \text{ev}(\mathfrak{Q})), \lambda_{\mathcal{A}}(x_0)) \geq \\ &(d \wedge a)(m_Q(\mathfrak{Q}), (\lambda_{\mathcal{A}}, x_0)) \geq Ub(\mathfrak{Y}, y_0) \wedge a(m_X(\mathfrak{X}), x_0). \end{aligned}$$

□

3.6. Corollary. *Assume that \mathbf{V} is \square -atomic and a Heyting algebra.*

- (1) *An object $(X, a) \in \text{Alg}_u(\mathbf{U}; \mathbf{V})$ is exponentiable if and only if $a = \phi\psi(a)$.*
- (2) *Each $f : (X, a) \rightarrow (Y, b)$ in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$ with exponentiable domain is exponentiable.*
- (3) *Each initial $f : (X, a) \rightarrow (Y, b)$ is exponentiable in $\text{Alg}_u(\mathbf{U}; \mathbf{V})$.*

Proof. Theorem 3.5 implies that (X, a) is exponentiable if and only if $a \cdot m_X = e_X^\circ \cdot Ua$ which, as shown in 2.4, is equivalent to $a = \phi\psi(a)$. If (X, a) is exponentiable we have

$$Ua(\mathfrak{X}, \overset{\bullet}{x}) \geq a(m_X(\mathfrak{X}), x) \geq Ub(Uf(\mathfrak{X}), f(\overset{\bullet}{x})) \wedge a(m_X(\mathfrak{X}), x);$$

and if $i : (X, a) \hookrightarrow (Y, b)$ is initial

$$Ua(\mathfrak{X}, \overset{\bullet}{x}) \geq Ub(Uf(\mathfrak{X}), f(\overset{\bullet}{x})) \geq Ub(Uf(\mathfrak{X}), f(\overset{\bullet}{x})) \wedge a(m_X(\mathfrak{X}), x).$$

□

3.7. Examples. Exponentiable objects in **PrTop** and **PrAp** are characterized in [21] (see also [23]) and [20] respectively as exactly the finitely generated ones, i.e. the structure is induced by a reflexive function $r : X \times X \rightarrow \mathbf{2}$ resp. $d : X \times X \rightarrow \overline{\mathbb{R}}_+$. Hence both results are special cases of Corollary 3.6. For a pretopological space X , this is equivalent to each point x of X having a smallest neighborhood V . Note that any (ultra)filter which contains V necessarily contains all neighborhoods of x and hence converges to x . The corresponding map version of this result is proved in [24]. It states that a map $f : X \rightarrow Y$ between pretopological spaces is exponentiable if and only if it is

fibrewise finitely generated, that is, each $x \in X$ has a neighborhood V such that each tied filter $(\mathfrak{g}, f(x))$ which contains V converges to x . Here a tied filter (\mathfrak{g}, y) is a filter \mathfrak{g} together with a limit point y of $f[\mathfrak{g}]$. Of course, it is enough to consider only ultrafilters. Our Theorem 3.5 specializes to

Theorem 1. *A continuous map $f : X \rightarrow Y$ between pretopological spaces is exponentiable if and only if, for each $\mathfrak{X} \in U^2X$ and $x \in X$, $\mathfrak{X} \rightarrow \dot{x}$ whenever $m_X(\mathfrak{X}) \rightarrow x$ and $U^2f(\mathfrak{X}) \rightarrow f(x)$.*

The condition on $f : X \rightarrow Y$ in the theorem above must be equivalent to $f : X \rightarrow Y$ being fibrewise finitely generated. This can be also seen directly as follows. Assume first that $f : X \rightarrow Y$ is fibrewise finitely generated and let $\mathfrak{X} \in U^2X$ and $x \in X$ be such that, with $\mathfrak{Y} := U^2f(\mathfrak{X})$ and $y := f(x)$, $m_X(\mathfrak{X}) \rightarrow x$ and $\mathfrak{Y} \rightarrow \dot{y}$. By hypothesis, there is some neighborhood V of x such that every ultrafilter \mathfrak{a} on X with $V \in \mathfrak{a}$ and $Uf(\mathfrak{a}) \rightarrow y$ converges to x . From $m_X(\mathfrak{X}) \rightarrow x$ follows $V \in m_X(\mathfrak{X})$, hence $V^\# \in \mathfrak{X}$. Let $\mathcal{A} \in \mathfrak{X}$. We have $V^\# \cap \mathcal{A} \in \mathfrak{X}$, hence for some $\mathfrak{a} \in V^\# \cap \mathcal{A}$ it holds $Uf(\mathfrak{a}) \rightarrow y$ and therefore $\mathfrak{a} \rightarrow x$. We have shown that $\mathfrak{X} \rightarrow \dot{x}$. Assume now that $f : X \rightarrow Y$ is not fibrewise finitely generated. Hence there is some $x \in X$ such that, for each neighborhood V of x , there exists an ultrafilter $\mathfrak{a} \in UX$ such that $V \in \mathfrak{a}$, $Uf(\mathfrak{a}) \rightarrow y := f(x)$ and $\mathfrak{a} \not\rightarrow x$. We define

$$\mathcal{A} := \{\mathfrak{a} \in UX \mid V \in \mathfrak{a} \ \& \ Uf(\mathfrak{a}) \rightarrow y \ \& \ \mathfrak{a} \not\rightarrow x\}.$$

Then $\mathfrak{G} := \{W^\# \mid W \text{ is a neighborhood of } x\} \cup \{\mathcal{A}\}$ is a filter base on UX ; applying 1.5 twice we obtain first an ultrafilter $\mathfrak{Y} \in U^2Y$ such that $Uf[\mathfrak{G}] \subset \mathfrak{Y}$ and $\mathfrak{Y} \rightarrow \dot{y}$, and then $\mathfrak{X} \in U^2X$ with $\mathfrak{G} \subset \mathfrak{X}$ and $U^2f(\mathfrak{X}) = \mathfrak{Y}$. Hence $m_X(\mathfrak{X}) \rightarrow x$ but $\mathfrak{X} \not\rightarrow \dot{x}$.

Theorem 3.5 gives also a characterization of exponentiable maps in **PrAp**:

Theorem 2. *A non-expansive map $f : (X, a) \rightarrow (Y, b)$ between preapproach spaces is exponentiable if and only if, for each $\mathfrak{X} \in U^2X$ and $x \in X$,*

$$Ua(\mathfrak{X}, \dot{x}) \leq \max(Ub(U^2f(\mathfrak{X}), f(x)), a(m_X(\mathfrak{X}), x)).$$

4. EXPONENTIABILITY IN $\text{Alg}(\mathbf{U}; \mathbf{V})$

4.1. Preamble. In this section we give a sufficient condition for an object to be exponentiable in $\text{Alg}(\mathbf{U}; \mathbf{V})$. The proof will be very similar to the one of Theorem 3.5, we “just” substitute principal by arbitrary ultrafilters. Unfortunately, due to this complication, we are not able to derive a result about maps because we are only able to prove Lemma 4.2 – the corresponding version of Lemma 3.4 – for products.

Throughout this section we assume that \mathbf{V} is \sqsubset -atomic and a Heyting algebra and that, for each $\gamma \in \mathbf{V}$, $\text{At}(\gamma)$ is up-directed or each $\gamma_0 \in \text{At}(\gamma)$ is connected.

4.2. **Lemma.** *Let*

$$\begin{array}{ccc} & (P, d) & \\ \pi_1 \swarrow & & \searrow \pi_2 \\ (X, a) & & (Y, b) \end{array}$$

be a product in $\text{Alg}(U; \mathbf{V})$. For every $\mathfrak{P} \in U^2P$, $\mathfrak{r} \in UX$ and $\eta \in UY$,

$$\bigvee_{\mathfrak{p} \in UP: \substack{U\pi_1(\mathfrak{p})=\mathfrak{r} \\ U\pi_2(\mathfrak{p})=\eta}} Ud(\mathfrak{P}, \mathfrak{p}) = Ua(U^2\pi_1(\mathfrak{P}), \mathfrak{r}) \wedge Ub(U^2\pi_2(\mathfrak{P}), \eta).$$

Proof. Let $\alpha \in \text{At}(Ua(U^2\pi_1(\mathfrak{P}), \mathfrak{r}))$ and $\beta \in \text{At}(Ub(U^2\pi_2(\mathfrak{P}), \eta))$. For $A \in \mathfrak{r}$ and $B \in \eta$, we have $a_\alpha^\circ[A] \in U^2\pi_1(\mathfrak{P})$ and $b_\beta^\circ[B] \in U^2\pi_2(\mathfrak{P})$ and therefore

$$d_{\alpha \wedge \beta}^\circ[A \times B] \supset U\pi_1^{-1}[a_\alpha^\circ[A]] \cap U\pi_2^{-1}[b_\beta^\circ[B]] \in \mathfrak{P}.$$

The Extension Lemma guarantees now the existence of $\mathfrak{p} \in UP$ such that $U\pi_1(\mathfrak{p}) = \mathfrak{r}$, $U\pi_2(\mathfrak{p}) = \eta$ and $Ud(\mathfrak{P}, \mathfrak{p}) \geq \alpha \wedge \beta$. \square

4.3. **Theorem.** *Under the conditions 4.1, an object (X, a) in $\text{Alg}(U; \mathbf{V})$ is exponentiable provided that*

$$(\diamondsuit) \quad \forall \mathfrak{X} \in U^2X, x \in X \quad \forall \gamma_1, \gamma_0 \in \mathbf{V}$$

$$\bigvee_{\mathfrak{r} \in UX} (Ua(\mathfrak{X}, \mathfrak{r}) \wedge \gamma_1) \otimes (a(\mathfrak{r}, x) \wedge \gamma_0) \geq a(m_X(\mathfrak{X}), x) \wedge (\gamma_1 \otimes \gamma_0).$$

Proof. Assume that (X, a) fulfils (\diamondsuit) and let $(Y, b) \in \text{Alg}_u(U; \mathbf{V})$. We will show that the structure d on P defined in 3.2 is transitive. To see that, we put $Q = P \times X$ and let $\mathfrak{P} \in U^2P$, $\mathfrak{p} \in UP$ and $s \in P$. According to the definition of d , we have to show that, for each $x \in X$ and $\mathfrak{q} \in UQ$ with $U\pi_1(\mathfrak{q}) = m_P(\mathfrak{P})$,

$$(Ud(\mathfrak{P}, \mathfrak{p}) \otimes d(\mathfrak{p}, s)) \wedge a(U\pi_2(\mathfrak{q}), x) \leq b(\text{ev}(\mathfrak{q}), s(x)).$$

Assume now that $x \in X$ and $\mathfrak{q} \in UQ$ are given such that $U\pi_1(\mathfrak{q}) = m_P(\mathfrak{P})$. As in the proof of Theorem 3.5, for such \mathfrak{q} there exists $\mathfrak{Q} \in UQ$ such that $m_Q(\mathfrak{Q}) = \mathfrak{q}$ and $U^2\pi_1(\mathfrak{Q}) = \mathfrak{P}$. The previous lemma implies that, for each $\mathfrak{r} \in UX$,

$$\bigvee_{\mathfrak{q}' \in UQ: \substack{U\pi_2(\mathfrak{q}')=\mathfrak{r} \\ U\pi_1(\mathfrak{q}')=\mathfrak{p}}} U(d \wedge a)(\mathfrak{Q}, \mathfrak{q}') = Ud(\mathfrak{P}, \mathfrak{p}) \wedge Ua(U^2\pi_2(\mathfrak{Q}), \mathfrak{r}).$$

Moreover, for each $\mathfrak{q}' \in UQ$ with $U\pi_2(\mathfrak{q}') = \mathfrak{r}$ and $U\pi_1(\mathfrak{q}') = \mathfrak{p}$ it holds

$$\begin{aligned} b(U \text{ev}(\mathfrak{q}'), s(x)) &= b(m_Y(U^2 \text{ev}(\mathfrak{Q})), s(x)) \\ &\geq Ub(U^2 \text{ev}(\mathfrak{Q}), U \text{ev}(\mathfrak{q}')) \otimes b(U \text{ev}(\mathfrak{q}'), s(x)) \\ &\geq U(d \wedge a)(\mathfrak{Q}, \mathfrak{q}') \otimes (d(\mathfrak{p}, s) \wedge a(\mathfrak{r}, x)). \end{aligned}$$

Combining the inequalities above we obtain

$$\begin{aligned}
 b(U \operatorname{ev}(q), s(x)) &\geq \bigvee_{\mathfrak{r} \in UX} \left(\bigvee_{\substack{q' \in UQ, \\ U\pi_2(q') = \mathfrak{r} \\ U\pi_1(q') = \mathfrak{p}}} (U(d \wedge a)(\Omega, q')) \otimes (d(\mathfrak{p}, s) \wedge a(\mathfrak{r}, x)) \right) \\
 &\geq \bigvee_{\mathfrak{r} \in UX} (Ud(\mathfrak{P}, \mathfrak{p}) \wedge Ua(U^2\pi_2(\Omega), \mathfrak{r})) \otimes (d(\mathfrak{p}, s) \wedge a(\mathfrak{r}, x)) \\
 &\geq a(m_X(U^2\pi_2(\Omega)), x) \wedge (Ud(\mathfrak{P}, \mathfrak{p}) \otimes d(\mathfrak{p}, s)) \\
 &= a(U\pi_2(q), x) \wedge (Ud(\mathfrak{P}, \mathfrak{p}) \otimes d(\mathfrak{p}, s)).
 \end{aligned}$$

□

4.4. Corollary. *In addition to 4.1, assume that $\otimes = \wedge$.*

- (1) (X, a) in $\operatorname{Alg}(U; \mathbf{V})$ is exponentiable provided that $a \cdot Ua = a \cdot m_X$.
- (2) $(X, \phi(r))$ is exponentiable in $\operatorname{Alg}(U; \mathbf{V})$, for each \mathbf{V} -category (X, r) .

Proof. (1) follows immediately from the theorem above. To see (2), let $(X, r) \in \mathbf{V}\text{-Cat}$. We apply Lemma 2.4 to $r \cdot r = r$ and obtain $\phi(r) \cdot U\phi(r) = \phi(r) \cdot m_X$. □

4.5. Application to approach spaces. As for metric spaces (see [8]), the condition $(\diamond\diamond)$ can be simplified in the case of approach spaces.

Theorem. *Let (X, a) be an approach space. Then the following assertions are equivalent.*

- (1) (X, a) satisfies $(\diamond\diamond)$.
- (2) For $\mathfrak{X} \in U^2X$, $x \in X$ and $\gamma_1, \gamma_0 \in \overline{\mathbb{R}}_+$ with $\gamma_1 + \gamma_0 = a(m_X(\mathfrak{X}), x)$,

$$\inf_{\mathfrak{r} \in UX} (Ua(\mathfrak{X}, \mathfrak{r}) \vee \gamma_1) \otimes (a(\mathfrak{r}, x) \vee \gamma_0) \leq a(m_X(\mathfrak{X}), x).$$

- (3) For $\mathfrak{X} \in U^2X$, $x \in X$ with $a(m_X(\mathfrak{X}), x) < \infty$ and $\gamma_1, \gamma_0 \in [0, \infty)$ with $\gamma_1 + \gamma_0 = a(m_X(\mathfrak{X}), x)$, for each $\varepsilon > 0$ there exists an ultrafilter $\mathfrak{r} \in UX$ such that

$$Ua(\mathfrak{X}, \mathfrak{r}) \leq \gamma_1 + \varepsilon \quad \text{and} \quad a(\mathfrak{r}, x) \leq \gamma_0 + \varepsilon.$$

- (4) For $\gamma_1, \gamma_0 \in [0, \infty)$ and $\varepsilon > 0$,

$$a_{\gamma_0 + \varepsilon} \cdot (Ua)_{\gamma_1 + \varepsilon} \geq a_{\gamma_0 + \gamma_1} \cdot m_X.$$

Corollary. $(X, \phi(r))$ is exponentiable in \mathbf{Ap} if and only if (X, r) is exponentiable in \mathbf{Met} .

Proof. Let (X, r) be exponentiable in \mathbf{Met} . According to [8], this is equivalent to

$$\forall \gamma_0, \gamma_1 \in \overline{\mathbb{R}}_+ \forall \varepsilon > 0 \quad r_{\gamma_0 + \varepsilon} \cdot r_{\gamma_1 + \varepsilon} \geq r_{\gamma_0 + \gamma_1 + \varepsilon}.$$

Let $\gamma_1, \gamma_0 \in [0, \infty)$ and $\varepsilon > 0$. We apply 2.4 and obtain

$$\phi(r_{\gamma_0+\varepsilon}) \cdot U\phi(r_{\gamma_1+\varepsilon}) \geq \phi(r_{\gamma_0+\gamma_1+\varepsilon}) \cdot m_X.$$

For the right hand side we have

$$\phi(r_{\gamma_0+\varepsilon}) \cdot U\phi(r_{\gamma_1+\varepsilon}) \leq \phi(r)_{\gamma_0+\varepsilon} \cdot U(\phi(r)_{\gamma_1+\varepsilon}) \leq \phi(r)_{\gamma_0+\varepsilon} \cdot (U\phi(r))_{\gamma_1+\varepsilon},$$

and for the left hand side $\phi(r_{\gamma_0+\gamma_1+\varepsilon}) \cdot m_X \geq \phi(r)_{\gamma_0+\gamma_1} \cdot m_X$. The reverse implication follows immediately from the fact that **Met** is a full and coreflective subcategory of **Ap** closed under finite limits (see 2.4). \square

We finish this paper by exhibiting an exponentiable approach space whose structure is not induced by a metric or a topology, namely the *Sierpinski approach space* \mathbb{P} . Recall from [17] that \mathbb{P} has as underlying set $\overline{\mathbb{R}}_+ = [0, \infty]$ and structure p defined by $p(\mathfrak{r}, x) := \text{hom}(l(\mathfrak{r}), x) = \max\{x - l(\mathfrak{r}), 0\}$, for each ultrafilter $\mathfrak{r} \in U\mathbb{P}$ and each $x \in \mathbb{P}$ and $l(\mathfrak{r}) = \inf_{A \in \mathfrak{r}} \sup_{y \in A} y$. Since $\text{hom}(_, x)$ is a contravariant adjoint functor, it holds

$$\text{hom}(\inf_{y \in A} y, x) = \sup_{y \in A} \text{hom}(y, x)$$

for all $x \in \mathbb{P}$ and $A \subset \mathbb{P}$. If $x \in [0, \infty)$ and $A \neq \emptyset$, then we also have

$$\text{hom}(\sup_{y \in A} y, x) = \inf_{y \in A} \text{hom}(y, x).$$

In particular we see that the subspace $[0, \infty)$ of \mathbb{P} is finitely generated. Therefore, in order to show that \mathbb{P} is exponentiable, we only need to consider $\mathfrak{X} \in U^2\mathbb{P}$ and $x \in \mathbb{P}$ with $p(m_X(\mathfrak{X}), x) < \infty$, where $\mathfrak{X} = \overset{\bullet}{\infty}$ or $x = \infty$. Since $x = \infty$ implies $l(m_X(\mathfrak{X})) = \infty$, we cover both cases by assuming from now on that $\mathfrak{X} \in U^2\mathbb{P}$ and $x \in \mathbb{P}$ are given with $l(m_X(\mathfrak{X})) = \infty$. Note that

$$\begin{aligned} l(m_X(\mathfrak{X})) = \infty &\iff \forall y \in [0, \infty) [y, \infty] \in m_X(\mathfrak{X}) \\ &\iff \forall y \in [0, \infty) [y, \infty]^\# \in \mathfrak{X}. \end{aligned}$$

Let $\mathfrak{f} := \{[y, \infty] \mid y \in [0, \infty)\}$. Then $\sup_{A \in \mathfrak{X}, F \in \mathfrak{f}} \inf_{a \in A, z \in F} p(a, z) = 0$. From Lemma 1.5 we infer that there exists some ultrafilter $\mathfrak{r} \in U\mathbb{P}$ with $\mathfrak{f} \subset \mathfrak{r}$ and $Up(\mathfrak{X}, \mathfrak{r}) = 0$. By construction we have $p(\mathfrak{r}, x) = 0$, which completes the proof.

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